



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/698,141

10/31/2003

Edward Alan Clark

LUC-433/Clark 10

2903

32205

7590

10/02/2009

Carmen Patti Law Group , LLC  
ONE N. LASALLE STREET  
44TH FLOOR  
CHICAGO, IL 60602

EXAMINER

PHAN, JOSEPH T

ART UNIT

PAPER NUMBER

2614

MAIL DATE

DELIVERY MODE

10/02/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/698,141	<b>Applicant(s)</b> CLARK, EDWARD ALAN	
	<b>Examiner</b> JOSEPH T. PHAN	<b>Art Unit</b> 2614	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 07/08/2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1,3-11,13-19 and 21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-11,13-19 and 21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Art Unit: 2614

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments with respect to claims 1, 3-11, 13-19, and 21 have been considered but are moot in view of the new ground(s) of rejection.

This supplemental non-final action replaces the prior non-final action.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. **Claims 1, 3-11, 13-19, and 21 rejected under 35 U.S.C. 103(a) as being unpatentable over Crockett et al., Patent #7,336,771 in view of Kambhatla et al., Patent #6,704,394.**

Regarding claims 1 and 17, Crockett teaches a network(Fig.1) and method, comprising: a Customer Premise Equipment (CPE) application server component(40 Fig.1) that provides one or more services to a telephony device(25 Fig.1) on a call through establishing one or more data streams associated with the call(24 Fig.1 and col.4 lines 24-57), the one or more services selectively determined by a user of the telephony device(col.8 lines 30-44); and an application server component with which the CPE application server component provides the one or more services through employment of one or more protocols to establish the one or more data streams(col.6 line 61-col.7 line 16).

Crockett does not expressly disclose wherein at least one of the one or more protocols is a User Datagram Protocol(UDP).

Art Unit: 2614

In a related field of endeavor (i.e. verbal prompting a caller and navigating the call based on prompt selection), Kambhatla discloses a User Datagram Protocol(UDP) (col.6 lines 14-26).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to include UDP as disclosed by Kambhatla among Crockett's several types of protocols used for routing(col.6 lines 28-33).

One of ordinary skill in the art would have been motivated to do so as Kambhatla discloses the same TCP/IP protocol as Crockett but further discloses use of UDP in the same example as TCP/IP(Kambhatla col.6 lines 14-26). UDP was notoriously well known at the time of the invention as disclosed by Kambhatla and it would have been obvious to try and/or design choice.

Regarding claim 3, Crockett in view of Kambhatla teaches the network of claim 1, wherein the application server component establishes one or more web portals with the telephony device(col.8 lines 6-57); wherein the CPE application server component and the application server component provide the one or more services to the telephony device through employment of the one or more web portals(col.8 lines 6-57).

Regarding claim 4, Crockett in view of Kambhatla teaches the network of claim 3, wherein the CPE application server component and the application server component provide one or more interfaces associated with the one or more services through employment of the one or more web portals(col.8 lines 6-57).

Regarding claim 5, Crockett in view of Kambhatla teaches the network of claim 3, wherein the CPE application server component and the telephony device establish the call(Fig.1);

Art Unit: 2614

wherein the CPE application server component provides one or more interfaces to allow the telephony device to initiate a request to the CPE application server component wherein in response to the request from the telephony device to the CPE application server component, the CPE application server component alters the call(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Regarding claim 6, Crockett in view of Kambhatla teaches the network of claim 5, wherein the call comprises a voice menu, wherein the application server component updates the voice menu based on the request(col.8 lines 30-44).

Regarding claim 7, Crockett in view of Kambhatla teaches the network of claim 6, wherein a plurality of voice menus comprise the voice menu, wherein the application server component provides a first voice menu of the plurality of voice menus to the telephony device(col.8 lines 30-44); wherein in response to the request from the telephony device to the CPE application server component, the application server component halts the first voice menu and provides a second voice menu of the plurality of voice menus based on the employment of the one or more services(col.8 lines 6-57 and col.24 line 57-col.25 line 10).

Regarding claim 8, Crockett in view of Kambhatla teaches the network of claim 5, wherein in response to the request from the telephony device to the CPE application server component, the CPE application server component routes the call(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Regarding claim 9, Crockett in view of Kambhatla teaches the network of claim 5, wherein the CPE application server component provides a first one or more services to the

Art Unit: 2614

telephony device, wherein in response to the request from the telephony device to the CPE application server component, the CPE application server component provides a second one or more services to the telephony device(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Regarding claim 10, Crockett in view of Kambhatla teaches the network of claim 4, wherein the one or more interfaces comprise one or more eXtended Markup Language (XML) interfaces(col.8 lines 6-56); wherein the CPE application server component communicates with the application server component to provide the one or more eXtended Markup Language interfaces(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Regarding claim 11, Crockett in view of Kambhatla teaches the network of claim 2, wherein the CPE application server component requests of the application server component to establish the one or more web portals through employment of the HyperText Transport Protocol (HTTP) (col.8 lines 44-57).

Regarding claim 13, Crockett in view of Kambhatla teaches the network of claim 1, wherein the CPE application server component provides one or more interfaces associated with the one or more services that allow the telephony device to interact with the one or more services, wherein the CPE application server component provides the one or more interfaces to the application server component through employment of the one or more data streams(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57), wherein the application server component establishes one or more web portals with the telephony device(col.8 lines 6-57); wherein the CPE application server component and the application server component provide

Art Unit: 2614

the one or more interfaces through employment of the one or more web portals(col.8 lines 6-57).

Regarding claim 14, Crockett in view of Kambhatla teaches the network of claim 13, wherein the CPE application server component associates the call with the one or more services, wherein the CPE application server component associates the one or more services with the one or more interfaces, wherein the CPE application server component and the application server component provide the one or more services that allow the telephony device to perform a request(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57); wherein in response to the request from the telephony device to the application server component, the application service component and the CPE application server component update the one or more services(col.24 line 57-col.25 line 10).

Regarding claim 15, Crockett in view of Kambhatla teaches the network of claim 12, wherein the CPE application server component comprises a voice mail system, wherein the voice mail system associates the call with a plurality of voice menus, wherein the voice mail system and the telephony device cooperate to establish a voice mail call(col.8 lines 30-44 and col.20 lines 16-53); wherein the voice mail system and the application server component cooperate to provide a first voice menu of the plurality of voice menus associated with the call to the telephony device(col.8 lines 30-44 and col.20 lines 16-53); wherein the voice mail system and the application server component provide one or more interfaces to allow the telephony device to perform a selection of a second voice menu of the plurality of voice menus(col.8 lines 30-44 and col.20 lines 16-53); wherein in response to the selection of the second voice menu from the telephony device to the

Art Unit: 2614

voice mail system, the voice mail system updates the voice mail call to play the second voice menu to the telephony device(col.8 lines 30-44, col.20 lines 16-53, and col.24 line 57-col.25 line 10).);

Regarding claim 16, Crockett in view of Kambhatla teaches the network of claim 12, wherein the CPE application server component comprises a interactive voice response system, wherein the interactive voice response system associates the call with a plurality of voice menus, wherein the interactive voice response system and the telephony device cooperate to establish an interactive voice response call(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57); wherein the interactive voice response system and the application server component provide a first voice menu of the plurality of voice menus associated with the interactive voice response call to the telephony device(col.8 lines 30-44); wherein the interactive voice response system and the application server component provide one or more interfaces to allow the telephony device to perform a selection of a second voice menu of the plurality of voice menus(col.8 lines 30-44) wherein in response to the selection of the second voice menu from the telephony device to the interactive voice response system, the interactive voice response system routes the interactive voice response call(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Regarding claim 17, Crockett teaches a method, comprising the step of: providing a Customer Premise Equipment(CPE) application server component, providing a application server component(fig.1); and providing one or more services to a telephony device on a call through employment of one or more protocols to establish one or more data streams between the CPE application server and the application server components associated with the call, the one or



Art Unit: 2614

more services selectively determined by a user of the telephony device(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57).

Crockett does not expressly disclose wherein at least one of the one or more protocols is a User Datagram Protocol(UDP).

In a related field of endeavor (i.e. verbal prompting a caller and navigating the call based on prompt selection), Kambhatla discloses a User Datagram Protocol(UDP) (col.6 lines 14-26).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to include UDP as disclosed by Kambhatla among Crockett's several types of protocols used for routing(col.6 lines 28-33).

One of ordinary skill in the art would have been motivated to do so as Kambhatla discloses the same TCP/IP protocol as Crockett but further discloses use of UDP in the same example as TCP/IP(Kambhatla col.6 lines 14-26). UDP was notoriously well known at the time of the invention as disclosed by Kambhatla and it would have been obvious to try and/or design choice.

Regarding claim 18, Crockett in view of Kambhatla teaches the method of claim 17, wherein the step of providing, the one or more services to the telephony device on the call through employment of the one or more data streams associated with the call comprises the steps of: providing one or more interfaces associated with the one or more services to the telephony device through employment of one or more web portals(col.4 lines 24-57, col.7 lines 1-16, and col.8 lines 6-57); providing for a request of the one or more services through the one or more interfaces and updating the call based upon the request of the one or more services through the one or more

Art Unit: 2614

interfaces(col.24 line 57-col.25 line 10).

Regarding claim 19, Crockett in view of Kambhatla teaches the method of claim 17, wherein the step of providing, the one or more services to the telephony device on the call through employment of the one or more data streams associated with the call comprises the steps of: providing for an employment of the one or more services through the one or more interfaces(col.8 lines 6-57); and routing the call based upon the employment of the one or more services(col.24 line 57-col.25 line 10).

Regarding claim 21, Crockett teaches the network of claim 1.

Crockett does not expressly disclose wherein another one of the one or more protocols is a Bearer Independent Call Control (BICC) protocol.

Official Notice is taken that at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to include a Bearer Independent Protocol to use among Crockett's several types of protocols used (col.6 lines 28-33).

One of ordinary skill in the art would have been motivated to do so as using a Bearer Independent Protocol is merely a design choice among several different types of protocols capable and it would have been obvious to try different types depending on network functionality and capability. Some examples, Goldthwaite et al., Patent #7,280,847 and Szabo et al., Patent #6,567,425.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner

Art Unit: 2614

should be directed to JOSEPH T. PHAN whose telephone number is (571)272-7544. The examiner can normally be reached on Mon-Fri 9am-6:30pm EST, off every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Curtis Kuntz can be reached on (571) 272-7499. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Joseph T Phan/  
Examiner, Art Unit 2614